DCN: BSI-RPT-1316 , , Revision: 1.0 , Effective Date: 15 May 2023 .



DEGRADATION AND IMPURITY PROFILE REPORT: BIS-TRIS

The information contained herein is the confidential property of BioSpectra. The recipient is responsible for its safe-keeping and the prevention of unauthorized appropriation, use, disclosure and copying.

TABLE OF CONTENTS

1.	PURPOSE AND SCOPE:	3
2.	RESPONSIBILITIES:	4
3.	REFERENCES:	4
4.	PROCEDURE:	5
5.	CONCLUSION:	8

.

•

1. PURPOSE AND SCOPE:

- 1.1. The impurity profiling of Bis-Tris was intended to identify and potentially quantify impurities found in Bis-Tris (CAS 6979-37-0) product manufactured and purified at BioSpectra.
 - 1.1.1. In the case where an impurity was found, a limit was set to the maximum allowable without measurable compromise to predetermined critical quality attributes or toxicity. In the case where a limit could not be set, a procedure was written and followed to identify if the possible impurity was present or not (i.e. an identity test, which is qualitative and not quantitative).
 - 1.1.2. The profiling results and data allowed BioSpectra to understand the purity and characteristics of Bis-Tris through all stages of manufacturing.
 - 1.1.3. The four stages of Bis-Tris that were tested were Raw Material, Mother Liquor, Wet Crystal, and Finished Good.
 - 1.1.4. Tables were generated to include all sample results in the Bis-Tris Degradation and Impurity Profile Report.
 - 1.1.5. The tests that were used to determine the presence of impurities and degradation products will be as follows:
 - 1.1.5.1. Absorbance
 - 1.1.5.1.1. All four stages.
 - 1.1.5.2. Appearance and Color
 - 1.1.5.2.1. Raw Material and Finished Good only.
 - 1.1.5.3. Assay
 - 1.1.5.3.1. All four stages.
 - 1.1.5.4. Bioburden
 - 1.1.5.4.1. Raw Material and Finished Good only.
 - 1.1.5.5. Elemental Impurities
 - 1.1.5.5.1. All four stages.
 - 1.1.5.6. Endotoxin
 - 1.1.5.6.1. Raw Material and Finished Good only.
 - 1.1.5.7. Identification (IR)
 - 1.1.5.7.1. All four stages.
 - 1.1.5.7.2. ML and WC Identification (IR) contains water contamination and is not representative of the finished product.
 - 1.1.5.8. Karl Fischer
 - 1.1.5.8.1. All four stages.
 - 1.1.5.9. Loss on Drying
 - 1.1.5.9.1. All four stages.
 - 1.1.5.10. Melting Range
 - 1.1.5.10.1. Raw Material and Finished Good only.
 - 1.1.5.11. Residual Solvents: Methanol
 - 1.1.5.11.1. Raw Material and Finished Good only.
 - 1.1.5.12. Residue on Ignition
 - 1.1.5.12.1. Raw Material and Finished Good only.
 - 1.1.5.13. Solubility 0.1M in Water
 - 1.1.5.13.1. All four stages.
- 1.2. All results were recorded in the appropriate laboratory documentation. The results were detailed and analyzed in this degradation and impurity profile report. This report includes all relevant data as well as references to the initial documented results. This report discusses any impurities found in the product and includes a specification for any limits on the impurities found when applicable.

2. **RESPONSIBILITIES:**

- 2.1. The QC Analyst, or qualified designees, were responsible for performing the testing stated in the protocol and recording all results.
- 2.2. The Associate Director of Product Life Cycle, or designee, was responsible for completing the degradation and impurity testing report.
- 2.3. It was the responsibility of all personnel to read and understand the SDS and don the appropriate PPE for handling and disposing of chemicals in a safe manner.

3. **REFERENCES:**

- 3.1. BSI-ATM-0075, Analytical Method for the Determination of ICH Q3D Elemental Impurities (Class 1, 2A, 2B, 3 & 4) by Inductively Coupled Plasma Mass Spectrometry (ICP-MS) in Bis-Tris and Bis-Tris Hydrochloride
- 3.2. BSI-ATM-0095, Bis-Tris Testing Methods
- 3.3. BSI-PRL-0620, Degradation and Impurity Profile Protocol: Bis Tris
- 3.4. BSI-RPT-1301, Elemental Impurity Assessment: Bis-Tris E04 Validation 2023
- 3.5. BSI-SOP-0069, Preparation of Samples for Outside Testing
- 3.6. BSI-SOP-0090, Lambda 25 UV/Vis Operation and Calibration
- 3.7. BSI-SOP-0094, Muffle Furnace SOP and Calibration
- 3.8. BSI-SOP-0098, Balance SOP
- 3.9. BSI-SOP-0102, Degradation and Impurity Profiling SOP
- 3.10. BSI-SOP-0126, Laboratory Notebooks
- 3.11. BSI-SOP-0133, Blue M Convection Oven Operation and Calibration SOP
- 3.12. BSI-SOP-0134, Pipette SOP
- 3.13. BSI-SOP-0140, Standardization of Titrants
- 3.14. BSI-SOP-0143, Metrohm Titrando 907 Auto-Titrator SOP
- 3.15. BSI-SOP-0244, VWR Gravity Convection Oven Operation and Calibration
- 3.16. BSI-SOP-0254, Spectrum Two UATR SOP
- 3.17. BSI-SOP-0256, MP50 Melting Range Operation and Calibration SOP
- 3.18. BSI-SOP-0303, NexION 350X ICP-MS SOP
- 3.19. BSI-SOP-0345, Endosafe Nexgen-PTS Endotoxin Reader SOP
- 3.20. ACS, Reagent Chemicals, current edition

4. PROCEDURE:

4.1. ABSORBANCE

4.1.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Absorbance testing are detailed in the table below.

Lot Number	Steen Specification Result		Specification		sult
Lot Number	Stage	280 nm	340 nm	280 nm	340 nm
BTRI-0123-00003-PV ML	Mother Liquor			0.0895	0.0302
M155 0705	Raw Material	Monitor	Monitor	0.0117	0.0018
BTRI-0123-00003 WC	Wat Crustal	WIOIIIIOF	Wontor	0.0154	0.0034
First Basket	Wet Crystal			0.0134	0.0034
BTRI-0123-00003-PV	Finished Good	0.04 a.u.	0.02 a.u	0.01	<0.02
Beginning	Fillislied Oodu	0.04 a.u.	0.02 a.u	0.01	~0.02

TABLE 1: ABSORBANCE

4.2. APPEARANCE AND COLOR

4.2.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Appearance and Color testing are detailed in the table below.

TABLE 2: APPEARANCE AND COLOR

Lot Number	Stage	Specification	Result
M155 0705	Raw Material	White Crystalline	White Crystalline Powder
BTRI-0123-00003-PV Beginning	Finished Good	Powder	White Crystalline Powder

4.3. <u>ASSAY</u>

4.3.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Assay testing are detailed in the table below.

TABLE 3: ASSAY

Lot Number	Stage	Specification	Result
BTRI-0123-00003-PV ML	Mother Liquor	Monitor	47.29%
M155 0705	Raw Material	99.0% - 101.0%	99.8%
BTRI-0123-00003 WC First Basket	Wet Crystal	Monitor	98.81%
BTRI-0123-00003-PV Beginning	Finished Good	99.0% - 101.0%	100.2%

4.4. **BIOBURDEN**

4.4.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Bioburden testing are detailed in the table below.

Tot Number	Store o	Specification		Res	sult
Lot Number	Stage	TAMC	TYMC	TAMC	ТҮМС
M155 0705	Raw Material	Mor	nitor	<100 CFU/g	<100 CFU/g
BTRI-0123-00003-PV	Finished Good	≤100 CFU/g	≤100 CFU/g	<100 CFU/g	<100 CEU/a
Beginning	T IIIISIICU UUUU	\$100 CF 0/g	\$100 CF 0/g	<100 CF 0/g	<100 CF 0/g

TABLE 4: BIOBURDEN

The information contained herein is the confidential property of BioSpectra. The recipient is responsible for its safe-keeping and the prevention of unauthorized appropriation, use, disclosure and copying.

:

4.5. ELEMENTAL IMPURITY

4.5.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Elemental Impurity testing are detailed in the table below.

Lot Number	Stage	Specification	Result
BTRI-0123-00003-PV ML	Mother Liquor		Refer to
M155 0705	Raw Material	Monitor	BSI-RPT-1301 for
BTRI-0123-00003 WC First Basket	Wet Crystal		Elemental Impurity
DTDI 0122 00002 DV Designing	Einished Cood	Complies with	Assessment: Bis-Tris
BTRI-0123-00003-PV Beginning	Finished Good	USP <232><233>	E04 Validation 2023

TABLE 5: ELEMENTAL IMPURITY

4.6. ENDOTOXIN

4.6.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirement. The results of the Endotoxin testing are detailed in the table below.

TABLE 6: ENDOTOXIN

Lot Number	Stage	Specification	Result
M155 0705	Raw Material	Monitor	<10.0 EU/g
BTRI-0123-00003-PV Beginning	Finished Good	≤50 EU/g	<10 EU/g

4.7. IDENTIFICATION TEST (IR)

4.7.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing method and requirements. The results of the Identification (IR) testing are detailed in the table below.

TABLE 7: IDENTIFICATION (IR)

Lot Number	Stage	Specification	Result
BTRI-0123-00003-PV ML	Mother Liquor	Monitor	Passes Test; 0.230041
M155 0705	Raw Material	Passes Test	Passes Test; 0.997191
BTRI-0123-00003 WC First Basket	Wet Crystal	Monitor	Passes Test; 0.982837
BTRI-0123-00003-PV Beginning	Finished Good	Passes Test	Passes Test; 0.993222

4.8. KARL FISCHER

4.8.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Karl Fischer testing are detailed in the table below.

TABLE 8: KARL FISCHER

Lot Number	Stage	Specification	Result
BTRI-0123-00003-PV ML	Mother Liquor		50.95%
M155 0705	Raw Material	Monitor	0.10%
BTRI-0123-00003 WC First Basket	Wet Crystal		1.30%
BTRI-0123-00003-PV Beginning	Finished Good	≤1.0%	0.1%

The information contained herein is the confidential property of BioSpectra. The recipient is responsible for its safe-keeping and the prevention of unauthorized appropriation, use, disclosure and copying.

:

4.9. LOSS ON DRYING

4.9.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Loss on Drying analysis are detailed in the table below.

Lot Number	Stage	Specification	Result
BTRI-0123-00003-PV ML	Mother Liquor		53.1754%
M155 0705	Raw Material	Monitor	0.2901%
BTRI-0123-00003 WC First Basket	Wet Crystal		1.4379%
BTRI-0123-00003-PV Beginning	Finished Good	≤1.0%	<1.0%

TABLE 9: LOSS ON DRYING

4.10. MELTING POINT

4.10.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Melting Point analysis are detailed in the table below.

TABLE 10: MELTING POINT

Lot Number	Stage	Specification	Result
M155 0705	Raw Material	100°C - 105°C	103°C
BTRI-0123-00003-PV Beginning	Finished Good	100 C - 105 C	104°C

4.11. **RESIDUAL SOLVENTS**

4.11.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Residual Solvents analysis are detailed in the table below.

TABLE 11: RESIDUAL SOLVENTS

Lot Number	Stage	Specification	Result
M155 0705	Raw Material	Monitor for	1003 ppm
BTRI-0123-00003-PV Beginning	Finished Good	Methanol	<80 ppm

4.12. **RESIDUE ON IGNITION**

4.12.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Residue on Ignition analysis are detailed in the table below.

TABLE 12: RESIDUE ON IGNITION

Lot Number	Stage	Specification	Result
M155 0705	Raw Material	Monitor	<0.0200%
BTRI-0123-00003-PV Beginning	Finished Good	≤0.1%	<0.1%

4.13. SOLUBILITY 0.1M IN WATER

4.13.1. Refer to the Degradation and Impurity Profile Protocol: Bis Tris for testing methods and requirements. The results of the Solubility analysis are detailed in the table below.

Lot Number	Stage	Specification	Result
BTRI-0123-00003-PV ML	Mother Liquor		Clear and Complete
M155 0705	Raw Material	Monitor	Clear and Complete
BTRI-0123-00003 WC First Basket	Wet Crystal		Clear and Complete
BTRI-0123-00003-PV Beginning	Finished Good	Clear and Complete	Clear and Complete

TABLE 13: SOLUBILITY IN WATER

The information contained herein is the confidential property of BioSpectra. The recipient is responsible for its safe-keeping and the prevention of unauthorized appropriation, use, disclosure and copying.

Page 7 of 8

:

:

5. CONCLUSION:

- 5.1. All samples met the specification for required analyses as dictated in the Degradation and Impurity Profile Protocol: Bis-Tris.
- 5.2. It can be concluded that there are no additional identifiable impurities present in the Bis Tris material at any stage of the process at this time.

The information contained herein is the confidential property of BioSpectra. The recipient is responsible for its safe-keeping and the prevention of unauthorized appropriation, use, disclosure and copying.